


<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>	<i>Title of Invention</i>	ARBITRAGE FUND PROGRAM
	<i>Named Inventor(s)</i>	GEORGE L. STROBEL II M. GUION BOND
	<i>Attorney Docket</i>	10051.105002
	<i>Express Mail Label No.</i>	EV 330778083US

031088 J.S.P.T.O.  
 10/696985  
  
 10/3003

COMMISSIONER FOR PATENTS  
 MAIL STOP PATENT APPLICATION  
 P.O. BOX 1450  
 ALEXANDRIA, VA 22313-1450

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i>
3. <input checked="" type="checkbox"/> Specification, Claims and Abstract: Total Pages <u>37</u>	<input type="checkbox"/> Power of Attorney by assignee
4. <input checked="" type="checkbox"/> Drawings Total Sheets <u>5</u>	11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>
5. Oath or Declaration Total Pages <u>3</u>	12. <input type="checkbox"/> Information Disclosure Statement (IDS) PTO-1449
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	<input type="checkbox"/> Copies of IDS Citations
b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i>	13. <input type="checkbox"/> Preliminary Amendment
(i) <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76.	15. <input type="checkbox"/> Certified Copy of Priority Document(s)
7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i>	16. <input checked="" type="checkbox"/> Assignee: <u>The Perigee Group</u> <u>100 Peadbody Place, Suite 1325</u> <u>Memphis, TN 38103</u>
8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>	19. <input checked="" type="checkbox"/> Other: <u>Early Publication Request Under 37</u> <u>C.F.R. § 1.219(a) and accompanying fee.</u>
a. <input type="checkbox"/> Computer Readable Form (CRF)	
b. Specification Sequence Listing on:	
i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or	
ii. <input type="checkbox"/> paper	
c. <input type="checkbox"/> Statement verifying identity of above copies	

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 10/628,196 filed 7/28/03  
 Prior application information: Examiner: Unassigned Group/Art Unit: Unassigned  
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS:  
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 Customer No. 20786

**FEE TRANSMITTAL**Attorney Docket No. **10051.105002 CIP**  
Express Mail Label No. **EV330778083US**

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **GEORGE L. STROBEL II AND M. GUION BOND**  
Filing Date: **HEREWITH**  
Title: **ARBITRAGE FUND PROGRAM**

The filing fee is calculated as shown below:

**1. FILING FEE:**

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$385	385.00	\$770	
<input type="checkbox"/> DESIGN FILING FEE	\$170		\$340	
<input type="checkbox"/> PLANT FILING FEE	\$265		\$530	
<input type="checkbox"/> REISSUE FILING FEE	\$385		\$770	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$80		\$160	
SUBTOTAL (1)		\$385		\$xxx

**2. CLAIMS:**

SMALL ENTITY					LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	18 - 20 =	0	x 9 =	0	x 18 =	
INDEP. CLAIMS	2 - 3 =	0	x 43 =	0	x 86 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+145 =	0	+290 =	
SUBTOTAL (2)				\$0		\$xxx

**3. ADDITIONAL FEES:**

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input checked="" type="checkbox"/> OTHER Early Publication Fee	\$300	\$300		
SUBTOTAL (3)		\$300		\$xxx

**TOTAL FILING FEES: \$685.00**A check is enclosed for the total amount: **\$685.00**☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0980.KING & SPALDING, LLP  
45<sup>th</sup> floor,  
191 Peachtree Street, N.E.  
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Telephone: 404.572.4600By: Michael S. PaventoMichael S. Pavento, Attorney for Applicant  
Reg. No. 42,985Date: 10/30/03

PATENTS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : **Not Yet Assigned**  
Applicant : **George L. Strobel, II and M. Buion Bond**  
Filed : **Herewith (October 27, 2003)**  
Title : **Arbitrage Fund Program**

Docket No. : **10051.105002**  
Customer No. : **20786**

**EARLY PUBLICATION REQUEST UNDER 37 C.F.R. § 1.219(a)**

Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

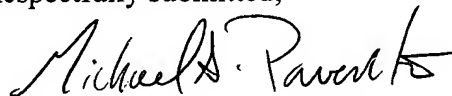
The Applicants named in the patent application identified above hereby request early publication under 37 C.F.R. § 1.219(a). Applicants request that the U.S. Patent and Trademark Office publish the application as soon as possible. This request is accompanied by a check for \$300 in payment of the fee set forth in 37 C.F.R. § 1.18(d).

11/03/2003 WABRHAM1 00000061 10696985

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300.00 DP

Respectfully submitted,



10/30/03

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